

PTO/SB/86 (06-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Anthony R. Perez et al.Application No./Patent No.: 10/023,587 Filed/Issue Date: December 17, 2001Entitled: Disposable Self-Shielding Unit Dose Syringe GuardSafety Syringes, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8844, Frame 0679, or for which a copy thereof is attached. And Reel 9087, Frame 0948, for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/24/04

Date

(760) 918-9908

Telephone number

Christer Andreasson

Typed or printed name

Signature

President

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 03, 1998

PTAS

LYON & LYON LLP
SAMUEL B. STONE
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071-2066



100592410A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/02/1997

REEL/FRAME: 3844/0679
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PEREZ, ANTHONY R.

DOC DATE: 10/01/1997

ASSIGNOR:

MITCHELL, DAVID W.

DOC DATE: 10/01/1997

ASSIGNEE:

SAFETY SYRINGES, INC.
250 W. COLORADO BLVD., SUITE 101
ARCADIA, CALIFORNIA 91007

SERIAL NUMBER: 08942938
PATENT NUMBER:

FILING DATE: 10/02/1997
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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FEB 12 1998

U.S. PROSECUTION

12-18-1997		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
PTO-1535 1-31-92		1 SHEET	
100592410		Lyon & Lyon Docket No. 227/211	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies): Anthony R. PEREZ and David W. MITCHELL		2. Name and address of receiving party(ies): Name: SAFETY SYRINGES, INC. Internal Address: Street Address: 250 W. Colorado Blvd., Suite 101 City: Arcadia State: CA ZIP 91007	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		Execution Date: October 1, 1997	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: October 2, 1997			
A. Patent Application No(s).		B. Patent No(s).	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: LYON & LYON LLP Internal Address: Samuel B. Stone Street Address: 633 West Fifth Street, Suite 4700 City: Los Angeles State: CA ZIP 90071-2066		6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41): \$ 40.00 <input checked="" type="checkbox"/> Enclosed Authorized to be charged to deposit account 8. Deposit Account Number: 12-2475 (Attach duplicate copy of this page if paying by deposit account.)	
DO NOT USE THIS SPACE			
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Samuel B. Stone - Reg. No. 19,297 Name of Person Signing & Reg. No. Signature Date October 2, 1997			
OMB No. 0651-0011 (exp. 4/94) Total number of pages including cover sheet, attachments, and document: 7			
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A S S I G N M E N T

WHEREAS, we, ANTHONY R. PEREZ, a citizen of the United States, residing at 1475 South El Molino Avenue, Pasadena, California 91106; JOHN R. FIRTH, a citizen of the United States, residing at 7887 Sacajawea Way, Wilsonville, Oregon 97070; and DAVID W. MITCHELL, a citizen of the United States, residing at 7115 E. Suffolk Circle, Orange, California 92869 (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled "DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD" for which application for Letters Patent of the United States of America has been executed on even date herewith;

WHEREAS, SAFETY SYRINGES, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 250 West Colorado Boulevard, Suite 101, Arcadia, California 91007 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said

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invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent

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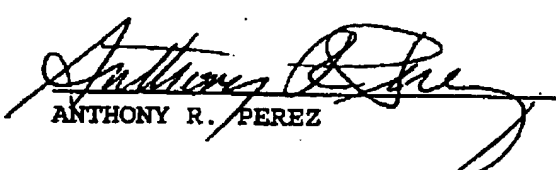
thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

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WITNESS my hand at Menlo Park, California, this
1st day of Oct., 1997.

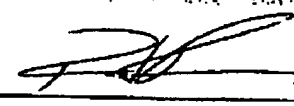
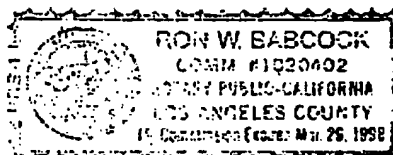

ANTHONY R. PEREZ

STATE OF CALIFORNIA)
)
COUNTY OF San Diego) ss.

On this 1st day of Oct., 1997,

before me, a Notary Public, personally appeared ANTHONY R. PEREZ
known to me (or proved to me on the basis of satisfactory
evidence) to be the person whose name is subscribed to the within
instrument and acknowledged to me that he executed the same in
his authorized capacity and that by his signature on the
instrument the person, or the entity upon behalf of which the
person acted, executed the instrument.

WITNESS my hand and official seal.


Notary Public in and for
said County and State

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Patent

WITNESS my hand at _____, Oregon,
this _____ day of _____, 1997.

JOHN R. FIRTH

STATE OF OREGON)
) ss.
COUNTY OF _____)

On this _____ day of _____, 1997,
before me, a Notary Public, personally appeared JOHN R. FIRTH to
me (or proved to me on the basis of satisfactory evidence) to be
the person whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized
capacity and that by his signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.

Notary Public in and for
said County and State

Seal

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Patent

WITNESS my hand at Menlo Park, California, this
1st day of Oct., 1997.



DAVID W. MITCHELL

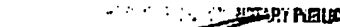
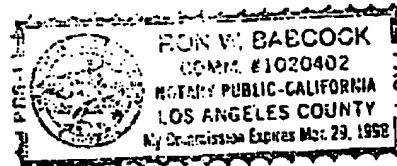
STATE OF CALIFORNIA)

COUNTY OF LOS ANGELES)

ss.

On this 1st day of Oct., 1997,
before me, a Notary Public, personally appeared DAVID W. MITCHELL
known to me (or proved to me on the basis of satisfactory
evidence) to be the person whose name is subscribed to the within
instrument and acknowledged to me that he executed the same in
his authorized capacity and that by his signature on the
instrument the person, or the entity upon behalf of which the
person acted, executed the instrument.

WITNESS my hand and official seal.


NOTARY PUBLICNotary Public in and for
said County and State



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 05, 1998

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LYON & LYON LLP
SAMUEL B. STONE
633 WEST FIFTH STREET, SUITE 4700
LOS ANGELES, CALIFORNIA 90071-2066



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RECORDATION DATE: 03/20/1998

REEL/FRAME: 9087/0948

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FIRTH, JOHN R.

DOC DATE: 03/05/1998

ASSIGNEE:

SAFETY SYRINGES, INC.
250 WEST COLORADO BOULEVARD, SUITE 101
ARCAIDA, CALIFORNIA 91007

SERIAL NUMBER: 08942938

FILING DATE: 10/02/1997

PATENT NUMBER:

ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
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JUN 15 1998

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04-1-1998

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1-31-92MAR 2 1998
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and Trademark O

cket No. 227/21

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN R. FIRTH

2. Name and address of receiving party(ies):

Name: SAFETY SYRINGES, INC.

Internal Address:

Additional name(s) of conveying party(ies) attached? Yes XX No

3. Nature of conveyance:

XX Assignment

Merger

Security Agreement

Change of Name

Other

Street Address: 250 West Colorado Boulevard, Suite 101

City: Arcadia State: CA ZIP 91007

Execution Date: March 5, 1998

Additional name(s) & address(es) attached? Yes XX No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A Patent Application No(s).

08/942,938

B. Patent No(s).

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MAR 20 1998

RECEIPT ACTING, DIV.

Additional numbers attached? Yes XX No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LYON & LYON LLP

Internal Address: Samuel B. Stone

Street Address: 633 West Fifth Street, Suite 4700

City: Los Angeles State: CA ZIP 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

XX Enclosed

Authorized to be charged to deposit account

8. Deposit Account Number:

12-2475

(Attach duplicate copy of this page if paying by deposit account.)

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B. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William A. English - Reg. No. P-42,515

Name of Person Signing & Reg. No.

Signature

March 19, 1998

Date

OMB No. 0651-0011 (exp. 4/94)

Total number of pages including cover sheet, attachments, and document: 4

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Box Assignments
Washington, D.C. 20231

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper (along with any reference to as being attached or enclosed) is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. 1.10 in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

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Date: March 19, 1998

Signature


Linda L. Bolter

A S S I G N M E N T

WHEREAS, I, JOHN R. FIRTH, a citizen of the United States, residing at 7887 Sacajawea Way, Wilsonville, Oregon 97070, (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled "DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD" for which application for Letters Patent of the United States of America was filed on October 2, 1997 and assigned Serial No. 08/942,938.

WHEREAS, SAFETY SYRINGES, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 250 West Colorado Boulevard, Suite 101, Arcadia, California 91007 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and

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Patent

interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.


ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated

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legal representative any and all papers, instruments,
declarations or affidavits required to apply for, obtain,
maintain, issue and enforce said application, said invention and
said Letters Patent and said equivalents thereof in any foreign
country which may be necessary or desirable to carry out the
purposes thereof.

WITNESS my hand at Costa Mesa, California, this 5th
day of March 1998.


JOHN R. FIRTH


STATE OF CALIFORNIA)
)
COUNTY OF Orange) ss.

On this 5th day of March, 1998 before me, a
Notary Public, personally appeared JOHN R. FIRTH ~~to me~~ proved
to me on the basis of satisfactory evidence) to be the person
whose name is subscribed to the within instrument and
acknowledged to me that he executed the same in his authorized
capacity and that by his signature on the instrument the person,
or the entity upon behalf of which the person acted, executed the
instrument.

WITNESS my hand and official seal.

Seal




Notary Public in and for
said County and State